

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

DOUGLAS HEINTZMAN
RICHARD S. SCHWERDTFEGER
LAWRENCE F. WEISS

Group Art Unit:

Examiner:

Serial No.: UNKNOWN

Conf. No.:

Filed: HEREWITH

Atty. Dkt.: AUS920030915US1

For: AUDIO PRESENTATIONS
BASED ON ENVIRONMENTAL
CONTEXT AND USER
PREFERENCES**INFORMATION DISCLOSURE STATEMENT**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

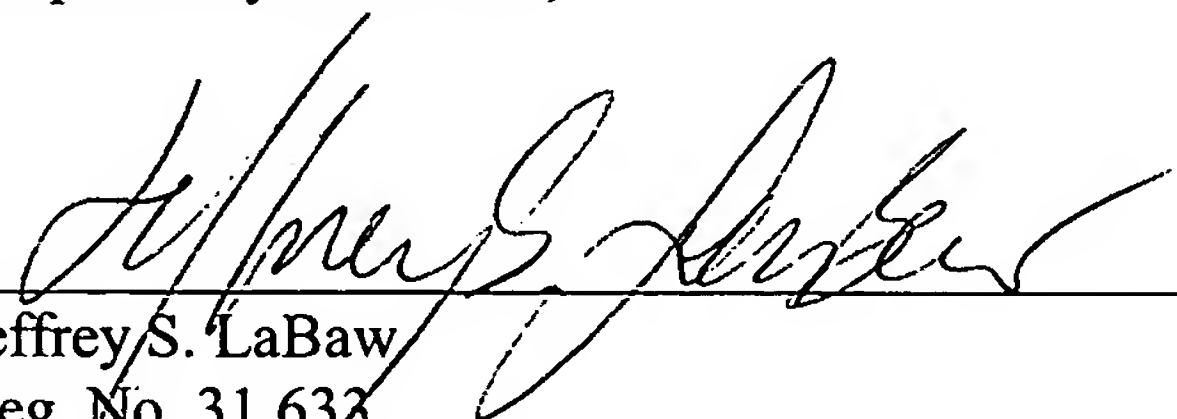
Pursuant to the waiver of the requirement under 37 CFR 1.98(a)(2)(i) for submitting a copy of each cited U.S. Patent for all applications filed after June 30, 2003, Applicants choose not to supply the cited references A1-A8 listed on Form PTO-1449.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

Date:

12/12/03



Jeffrey S. LaBaw
Reg. No. 31,633
Intellectual Property Law Dept.
IBM Corporation
11400 Burnet Road
Austin, Texas 78758
Telephone: (512) 823-0494

Form PTO-1449 (modified)		Atty. Docket No. AUS920030915US1	Serial No. UNKNOWN
List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Applicant(s) Douglas Heintzman et al.	
		Filing Date: HEREWITH	Group:
U.S. Patent Documents <i>See Page 1</i>	Foreign Patent Documents <i>See Page 1</i>	Other Art <i>See Page 1</i>	

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	6,008,802	12/28/1999	Iki <i>et al.</i>	345	327	01/05/1998
	A2	2003/0023972 A1	01/30/2003	Gutta <i>et al.</i>	725	34	07/26/2001
	A3	2002/0059608 A1	05/16/2002	Turner	725	53	07/11/2001
	A4	2002/0075403A1	06/20/2002	Barone, Jr., <i>et al.</i>	348	461	08/31/2001
	A5	6,061,056	05/09/2000	Menard <i>et al.</i>	345	327	03/04/1996
	A6	2002/0101537 A1	08/01/2002	Basson <i>et al.</i>	348	465	01/31/2001
	A7	2003/0163815 A1	08/28/2003	Begeja <i>et al.</i>	725	46	12/28/2001
	A8	2003/0093794 A1	05/15/2003	Thomas <i>et al.</i>	725	46	11/13/2001

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1	WO 01/24462 A1	04/05/2001		H04L	12/56	Yes
	B2	WO 02/11446 A2	02/07/2002		H04N	7/173	Yes

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	IMS Learner Information Package Accessibility for LIP: Access for All Information Model, Base Document Specification – Draft version 0.0.14 Dec. 16, 2002.
	C2	Merialdo, Bernard et al., "Automatic Construction of Personalized TV News Programs," Multimedia Communications Department Institut EURECOM, pgs. 323-331.
	C3	Schmandt, Chris et al., "AudioStreamer: Exploiting Simultaneity for Listening," CHI'95 Mosaic of Creativity, May 7-11, 1995, pgs. 218 and 219.
	C4	Gaeremynck, Yves et al., "MORE for Less: Model Recovery from Visual Interfaces for Multi-Device Application Design," IUI'03, January 12-15, 2003; pgs. 69-76.

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.